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HYLEE55.001AUS

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Lee, et al.	)	Group Art Unit: unknown
			)	
Appl. No.	:	09/963,314	)	
			)	
Filed	:	September 25, 2001	)	
			)	
For	:	<b>DNA CHIP USING CODON</b>	)	
		<b>SCANNING ALGORITHM</b>	)	
			)	
Examiner	:	unknown	)	

RESPONSE TO NOTICE TO COMPLY

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to Comply mailed November 13, 2001, please amend the above-captioned patent application as follows:

**IN THE SEQUENCE LISTING:**

Please cancel from the application the Sequence Listing submitted with the Preliminary Amendment of September 25, 2001. Please substitute therefore the attached Replacement Sequence Listing pages. Please consecutively renumber all pages following the canceled Sequence Listing.

**REMARKS**

This response to Notice to Comply brings the patent application into compliance with the Sequence Listing Disclosure requirement of the USPTO. Enclosed herewith are: (1) a paper copy of the Replacement Sequence Listing, and (2) a computer readable version of the Replacement Sequence Listing. The Response to Notice to Comply directs entry of the paper copy of the Sequence Listing into the application. A marked-up copy of the NOTICE TO



COPY OF PAPERS  
ORIGINALLY FILED

PATENT

Case Docket No. HYLEE55.001AUs

Date: January 3, 2002

Page 1

Box 560  
03/60

In re application of : Lee, et al.  
App. No. : 09/963,314  
Filed : September 25, 2001  
For : DNA CHIP USING CODON  
SCANNING ALGORITHM  
Examiner : Unknown  
Art Unit : Unknown

I hereby certify that this correspondence and all marked  
attachments are being deposited with the United States  
Postal Service as first class mail in an envelope addressed  
to: Assistant Commissioner for Patents, Washington,  
D.C. 20231, on

January 3, 2002

Che S. Chereskin  
(Date)  
Che Swyden Chereskin, Ph.D., Reg. No. 41,466

**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

Sir:

Transmitted herewith are the following in the above-identified application.

- (X) Response to Notice to Comply in 2 pages.
- (X) Paper copy of Replacement Sequence Listing in 4 pages.
- (X) Diskette containing computer readable version of Replacement Sequence Listing.
- (X) Copy of Notice to Comply with Requirements for Patent Applications...
- (X) Return prepaid postcard.
- (X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

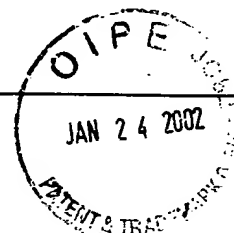
Che S. Chereskin

Che Swyden Chereskin, Ph.D.  
Registration No. 41,466  
Agent of Record

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**DISK TO STIC**

DATE: \_\_\_\_\_





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/963,314	09/25/2001	Sang Yup Lee	HYLEE55.001AUS

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660



CONFIRMATION NO. 1325

## FORMALITIES LETTER



\*OC000000007055510\*

Date Mailed: 11/13/2001

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE